
	Attorney Docket No.: 127-0008-4
	Application No.: 10/678,583
	First Named Inventor: Jean-Christophe Bandini
	Filing Date: October 2, 2003
	Group Art Unit: 2135
Examiner Name: April Ying Shan	
Date Submitted: October 17, 2007	
Sheet 1 of 1	

U.S. PATENT DOCUMENTS						
Examiner Initials ^a	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/AS/	AA	6,154,840	11/28/00	Pebbley et al.		
	AB	6,182,118	01/30/01	Finney et al.		
	AC	6,393,568	05/21/02	Ranger et al.		
	AD	6,853,988	02/08/05	Dickinson et al.		
	AE	2003-0196098	10/16/03	Dickinson III et al.		
	AF	2005-0081059	04/14/05	Bandini et al.		
	AG					
	AH					
FOREIGN PATENT DOCUMENTS						
Examiner Initials ^a	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/AS/	AI	JP 10-504168 (with English language Abstract from corresponding WO9700471)	04/14/98	Check Point Software Technologies Ltd.		X
/AS/	AJ	JP 2000-515332 (with English language Abstract from corresponding WO9724825)	11/14/00	Tiksi Com GMBH Telecommunication		X
/AS/	AK	JP 2001-505371 (with English language Abstract from corresponding US6182118)	04/17/01	CompuServe Incorporated		X
	AL					
Examiner Signature		/April Ying Shan/		Date Considered	02/18/2008	

^aEXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional).

²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet 1 of 1 (AYS)
 Sheet 1 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/AS/	AA	Integralis Asia Pacific, "Total Email Content Management Countering Email Borne Threats," White Paper MIMESweeper, January 1996, pp. 1-12	
	AB	Press Release, Integralis Announces MIMESweeper Compatible with Check Point FireWall-1 on Single NT Server, September 16, 1996 (document apparently printed September 30, 2003), 2 pages	
	AC	Author unknown, 3rd party search of internet archive (2 pages) and printouts (31 pages), apparently representing content archived from http://www.nha.com circa November 12, 1996. Printouts include pages apparently descriptive of a MIMESweeper (documents apparently received from 3rd Party and apparently printed June 8, 2004), 33 pages	
	AD	S. Kent, Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management, Network Working Group, Request for Comments 1422, Feb 1993, pp. 1-33	
	AE	Press Release, Integralis releases MIMESweeper Version 2.0 with SMTP mail security support, January 15, 1996 (document apparently received from 3rd Party and apparently printed June 8, 2004), 2 pages	
	AF	Press Release, Integralis announces version 2.3 of MIMESweeper with new email security features, June 13, 1996 (document apparently received from 3rd Party and apparently printed June 8, 2004), 2 pages	
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Examiner Signature	/April Ying Shan/	Date Considered	02/18/2008
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Page 1 of 2

INFORMATION DISCLOSURE STATEMENT-
Information Previously Considered in a Parent Application

MPEP 609.02 states: "[t]he examiner will consider information which has been considered by the Office in a parent application when examining: (A) a continuation application filed under 37 CFR 1.53(b), (B) a divisional application filed under 37 CFR 1.53(b), or (C) a continuation-in-part application filed under 37 CFR 1.53(b). A listing of the information need not be resubmitted in the continuing application unless the applicant desires the information to be printed on the patent."

The following information has been previously considered by the Office in a parent application (09/967,117) to which the present application claims benefit under 35 U.S.C. § 120 (as a continuation, divisional or continuation-in-part) and is listed here to facilitate printing of bibliographic information on the patent.

Examiner Initials	No.	Information Previously Considered by the Office in Parent Application 09/967,117		
/AS/	1	U.S. Patent 5,278,984	on 01/11/94	to Batchelor
	2	U.S. Patent 5,283,856	on 02/01/94	to Gross et al.
	3	U.S. Patent 5,331,543	on 07/19/94	to Yajima et al.
	4	U.S. Patent 5,369,707	on 11/29/94	to Follendore, III
	5	U.S. Patent 5,414,833	on 05/09/95	to Hershey et al.
	6	U.S. Patent 5,530,758	on 06/25/96	to Marino et al.
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	10	U.S. Patent 5,627,764	on 05/06/97	to Schutzman et al.
	11	U.S. Patent 5,748,884	on 05/05/98	to Royce et al.
	12	U.S. Patent 5,778,174	on 07/07/98	to Cain
	13	U.S. Patent 5,802,253	on 09/01/98	to Gross et al.
	14	U.S. Patent 5,828,893	on 10/27/98	to Wied et al.
	15	U.S. Patent 5,835,726	on 11/10/98	to Shwed
	16	U.S. Patent 5,889,943	on 03/30/99	to Ji et al.
	17	U.S. Patent 5,978,484	on 11/02/99	to Apperson et al.
	18	U.S. Patent 6,324,648	on 11/27/01	to Grantges, Jr.
	19	U.S. Patent 6,424,718	on 07/23/02	to Holloway
V	20	European EP 0 420 779	on 03/04/91	
Examiner Signature: /April Ying Shan/		Date Considered: 02/18/2008		

Pursuant to MPEP 609.02, Applicants provide this listing of information that has been considered by the Office in a parent application to which the present application claims benefit under 35 U.S.C. § 120. The listing is to facilitate printing of the considered citations on the patent when issued.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS

INFORMATION DISCLOSURE STATEMENT-
Information Previously Considered in a Parent Application

(CONTINUED)

Examiner Initials	No.	Information Previously Considered by the Office in Parent Application 09/967,117
/AS/	21	European EP 0 680 187 on 11/02/95
	22	Great Britain GB 2318846 on 05/06/98
	23	WO 96/35994 on 11/14/96
	24	WO 97/00471 on 01/03/97
	25	WO 97/24825 on 07/10/97
	26	CHESWICK, W.R. and BELLOVIN, S.M., "Firewalls and Internet Security-Repelling the Wily Hacker," Addison Wesley 1st ed., 1994
	27	Department of the Army, U.S. Army Corps of Engineers, Emergency Employment of Army and Other Resources, "Emergency Operations Center Standard Operating Procedures (HQUSACE-EOCSPO)," OM 500-1-6, Appendix C, July 12, 1994
	28	MATUNAGA, Yasuhiko and SEBAYASHI, Katsuhiko, "Adaptive Route Filtering for the Stable Internet Routing," NTT Multimedia Networks Laboratories, Tokyo, Technical Report of IEICE SSE 97-5, April 1997
	29	net PC, Vol. 2, No. 2, February 1997, pp. 158-163
	30	NIKKEI Open Systems, No. 52, July 1997, p. 316-346
	31	SMITH, Richard E., "Constructing a High Assurance Mail Guard," Secure Computing, San Jose, CA, 1994
	32	SERINELLI, Bob & LEISHER, Tim, "Securing Electronic Mail Systems," Milcom 92, 677-680, 1992
✓	33	SMITH, Randall E., "A Secure Email Gateway, Computer Security Applications," 11th Annual Conference, 202-211, 1994

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor: Jean-Christophe Bandini
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	Examiner Name: April Ying Shan
Sheet 1 of 1	Date Submitted: January 10, 2008

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/AS/	AA	5,377,354	12/27/94	Scannell et al.		
	AB	5,619,648	04/08/97	Canale et al.		
	AC	5,905,777	05/18/99	Foladare et al.		
	AD	6,385,655	05/07/02	Smith et al.		
	AE	6,609,196	08/19/03	Dickinson et al.		
	AF	6,651,166	11/18/03	Smith et al.		
	AG	7,117,358	10/03/06	Bandini et al.		
	AH	7,127,741	10/24/06	Bandini et al.		
	AI	7,162,738	01/09/07	Dickinson III et al.		
V	AJ	2007-0005983	01/04/07	Dickinson III et al.		
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	AL					
	AM					
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	AO					
	AP					
	AQ					
Examiner Signature	/April Ying Shan/			Date Considered	02/18/2008	

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Page 1 of 2

INFORMATION DISCLOSURE STATEMENT-
Information Previously Considered in a Parent Application

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	16	U.S. Patent 5,889,943	on 03/30/99	to Ji et al.
	17	U.S. Patent 5,978,484	on 11/02/99	to Apperson et al.
	18	U.S. Patent 6,324,648	on 11/27/01	to Grantges, Jr.
	19	U.S. Patent 6,424,718	on 07/23/02	to Holloway
✓	20	European EP 0 420 779	on 03/04/91	
Examiner Signature: /April Ying Shan/		Date Considered: 02/18/2008		

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS.

INFORMATION DISCLOSURE STATEMENT-
Information Previously Considered in a Parent Application

(CONTINUED)

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/AS/	21	European EP 0 680 187 on 11/02/95
	22	Great Britain GB 2318846 on 05/06/98
	23	WO 96/35994 on 11/14/96
	24	WO 97/00471 on 01/03/97
	25	WO 97/24825 on 07/10/97
	26	CHESWICK, W.R. and BELLOVIN, S.M., "Firewalls and Internet Security-Repelling the Wily Hacker," Addison Wesley 1st ed., 1994
	27	Department of the Army, U.S. Army Corps of Engineers, Emergency Employment of Army and Other Resources, "Emergency Operations Center Standard Operating Procedures (HQUSACE-EOCSPO)," OM 500-1-6, Appendix C, July 12, 1994
	28	MATUNAGA, Yasuhiko and SEBAYASHI, Katsuhiro, "Adaptive Route Filtering for the Stable Internet Routing," NTT Multimedia Networks Laboratories, Tokyo, Technical Report of IEICE SSE 97-5, April 1997
	29	net PC, Vol. 2, No. 2, February 1997, pp. 158-163
	30	NIKKEI Open Systems, No. 52, July 1997, p. 316-346
	31	SMITH, Richard E., "Constructing a High Assurance Mail Guard," Secure Computing, San Jose, CA, 1994
	32	SERINELLI, Bob & LEISHER, Tim, "Securing Electronic Mail Systems," Milcom 92, 677-680, 1992
✓	33	SMITH, Randall E., "A Secure Email Gateway, Computer Security Applications," 11th Annual Conference, 202-211, 1994

Examiner Signature: /April Ying Shan/	Date Considered: 02/18/2008
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	Attorney Docket No.: 127-0008-4
	Application No.: 10/678,583
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor: Jean-Christophe Bandini
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Sheet 1 of 1	Date Submitted: January 10, 2008

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/AS/	AA	5,377,354	12/27/94	Scannell et al.		
↓	AB	5,619,648	04/08/97	Canale et al.		
	AC	5,905,777	05/18/99	Foladare et al.		
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	AE	6,609,196	08/19/03	Dickinson et al.		
	AF	6,651,166	11/18/03	Smith et al.		
	AG	7,117,358	10/03/06	Bandini et al.		
	AH	7,127,741	10/24/06	Bandini et al.		
	AI	7,162,738	01/09/07	Dickinson III et al.		
↓	AJ	2007-0005983	01/04/07	Dickinson III et al.		
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	AO					
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	AQ					
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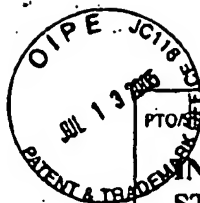
³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

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PTO/59/08a/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

App. No.	10/678,583
Filing Date	10/2/2003
First Named Inventor	Bandini et al.
Art Unit	2134
Examiner Name	To be assigned
Attorney Docket No.	TUMB-102 CIP 2

U. S. Patent Documents				
Examiner Initials	Cite No.	Document No.	Publication Date	Name
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Foreign Patent Documents					
Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
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	5.				
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	8.				

Non-Patent		
Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
AS	1.	POLLOCK, Stephen, A Rule-Based Message Filtering System, ACM Transactions on Office Information Systems, Vol. 6, No. 3, July 1988, pp 232-254.
AS	2.	Supplemental European Search Report for App. No. 989390978.6 dated 6/30/2005.
	3.	

Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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PTO/SB/083/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

App. No.	10/678,583
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Art Unit	2134
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U. S. Patent Documents

Examiner Initials	Cite No.	Document No.	Publication Date	Name
AS	1.	6,072,942	6/6/2000	Stockwell et al.
AS	2.	5,623,600	4/22/1997	Ji et al.
AS	3.	5,864,683	1/26/1999	Boebert

Foreign Patent Documents

Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
AS	1.	A 7-107082	4/21/1995	Nihon Denshin Denwa K.K.	No
	2.	A 5-207029	8/13/1993	Fuji Xerox K.K.	No
	3.	A 6-276221	9/30/1994	K.K. Toshiba	No
	4.	A 3-117940	5/20/1991	International Business Machines Corp.	No
	5.	A 8-263404	10/11/1996	AT&T Corp.	No
	6.	A 9-252294	9/22/1997	Mitsubishi Denki K.K.	No
	7.	A 8-204701	8/9/1996	Nihon Denshin Denwa K.K.	No
↓	8.	A 8-251156	9/27/1996	K.K. Hitachi Seisakusho	No

Non-Patent

Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
AS	1.	CATE, VINCENT, "Email-Firewalls"/Instant Corporate PGP, May 21, 1994
AS	2.	KOHNO, Masaru; TAKANO, Kazuo; YOSHIDA, Kazuki and OGATA, Tsutomu, Deterioration Forecast of Sealed Lead-Acid Batteries by Discharge of Short Time, Shingaku Sogo Taikai B-477, 1996
AS	3.	TONG, Fangwei; and YOSHIHIKO, Akaiwa, Residual Timing Error Dependence on Weighting Parameters for Autonomous Timing Synchronization Methods; Kyushu Institute of Technology, Shingaku Sogo Taikai, B-477, 1995

Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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PTO/SB/08a/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

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Art Unit	2134
Examiner Name	To be assigned
Attorney Docket No.	TUMB-102 CIP 2

U. S. Patent Documents				
Examiner Initials	Cite No.	Document No.	Publication Date	Name
AS	1.	5,416,842	05-1995	Aziz, Ashar
AS	2.	5,632,011	05-1997	Landfield et al.
	3.			
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	1.				
	2.				
	3.				
	4.				
	5.				
	6.				

Non-Patent		
Examiner Initials	Cite No.	
AS	1.	LEVIEN, Ralph, Protecting Internet E-Mail From Prying Eyes, Data Communications, May 1996, pp. 117-126.
AS	2.	SCHNEIDER, Bruce, Applied Cryptography, 2 nd Ed, October 1995, John Wiley & Sons, pp. 31-33 and 185-187.
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Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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Form SB/08a/b (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

App. No.	10/678,583
Filing Date	October 2, 2003
First Named Inventor	Jean-Christophe Bandini
Art Unit	N/A
Examiner Name	N/A
Attorney Docket No.	TUMB-102 CIP 2

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Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
	1.				

Non-Patent		
Examiner Initials	Cite No.	H. P. BRONDMO, M. OLSON and P. BOISSONNEAULT, A Solutions Blueprint for Solving the Spam Problem by Establishing Volume Email Sender Accountability, White Paper issued by the Network Advertising Initiative Email Service Provider Coalition, September 24, 2003.
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	1.	
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Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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OCT 17 2007		Attorney Docket No.: 127-0008-4
		Application No.: 10/678,583
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor: Jean-Christophe Bandini
		Filing Date: October 2, 2003
		Group Art Unit: 2135
		Examiner Name: April Ying Shan
Sheet 1 of 1		Date Submitted: October 17, 2007

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/AS/	AA	6,154,840	11/28/00	Pebbley et al.		
	AB	6,182,118	01/30/01	Finney et al.		
	AC	6,393,568	05/21/02	Ranger et al.		
	AD	6,853,988	02/08/05	Dickinson et al.		
	AE	2003-0196098	10/16/03	Dickinson III et al.		
	AF	2005-0081059	04/14/05	Bandini et al.		
	AG					
	AH					
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/AS/	AI	JP 10-504168 (with English language Abstract from corresponding WO9700471)	04/14/98	Check Point Software Technologies Ltd.		X
/AS/	AJ	JP 2000-515332 (with English language Abstract from corresponding WO9724825)	11/14/00	Tiksi Com GMBH Telecommunication		X
/AS/	AK	JP 2001-505371 (with English language Abstract from corresponding US6182118)	04/17/01	CompuServe Incorporated		X
	AL					
Examiner Signature		/April Ying Shan/		Date Considered	02/18/2008	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional).

²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS.



Attorney Docket No.: 127-0008-4	
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Examiner Name: April Ying Shan	
Date Submitted: October 17, 2007	

Sheet 1 of 1 (A/S).

Sheet 1 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/AS/	AA	Integralis Asia Pacific, "Total Email Content Management Countering Email Borne Threats," White Paper MIMESweeper, January 1996, pp. 1-12	
	AB	Press Release, Integralis Announces MIMESweeper Compatible with Check Point FireWall-1 on Single NT Server, September 16, 1996 (document apparently printed September 30, 2003), 2 pages	
	AC	Author unknown, 3rd party search of internet archive (2 pages) and printouts (31 pages), apparently representing content archived from http://www.nha.com circa November 12, 1996. Printouts include pages apparently descriptive of a MIMESweeper (documents apparently received from 3rd Party and apparently printed June 8, 2004), 33 pages	
	AD	S. Kent, Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management, Network Working Group, Request for Comments 1422, Feb 1993, pp. 1-33	
	AE	Press Release, Integralis releases MIMESweeper Version 2.0 with SMTP mail security support, January 15, 1996 (document apparently received from 3rd Party and apparently printed June 8, 2004), 2 pages	
↓	AF	Press Release, Integralis announces version 2.3 of MIMESweeper with new email security features, June 13, 1996 (document apparently received from 3rd Party and apparently printed June 8, 2004), 2 pages	
	AG		
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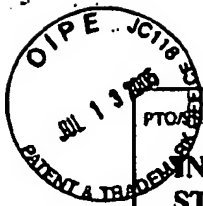
Examiner Signature	/April Ying Shan/	Date Considered	02/18/2008
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS.



PTO/SF/08a/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

App. No.	10/678,583
Filing Date	10/2/2003
First Named Inventor	Bandini et al.
Art Unit	2134
Examiner Name	To be assigned
Attorney Docket No.	TUMB-102 CIP 2

U. S. Patent Documents				
Examiner Initials	Cite No.	Document No.	Publication Date	Name
	1.			
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	3.			

Foreign Patent Documents					
Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
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Non-Patent		
Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
AS	1.	POLLOCK, Stephen, A Rule-Based Message Filtering System, ACM Transactions on Office Information Systems, Vol. 6, No. 3, July 1988, pp 232-254.
AS	2.	Supplemental European Search Report for App. No. 989390978.6 dated 6/30/2005.
	3.	

Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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PTO/SB/08a/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

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Attorney Docket No.	TUMB-102 CIP 2

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Examiner Initials	Cite No.	Document No.	Publication Date	Name
AS	1.	6,072,942	6/6/2000	Stockwell et al.
AS	2.	5,623,600	4/22/1997	Ji et al.
AS	3.	5,864,683	1/26/1999	Boebert

Foreign Patent Documents

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AS	1.	A 7-107082	4/21/1995	Nihon Denshin Denwa K.K.	No
	2.	A 5-207029	8/13/1993	Fuji Xerox K.K.	No
	3.	A 6-276221	9/30/1994	K.K. Toshiba	No
	4.	A 3-117940	5/20/1991	International Business Machines Corp.	No
	5.	A 8-263404	10/11/1996	AT&T Corp.	No
	6.	A 9-252294	9/22/1997	Mitsubishi Denki K.K.	No
	7.	A 8-204701	8/9/1996	Nihon Denshin Denwa K.K.	No
↓	8.	A 8-251156	9/27/1996	K.K. Hitachi Seisakusho	No

Non-Patent

Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
AS	1.	CATE, VINCENT, "Email-Firewalls"/Instant Corporate PGP, May 21, 1994
AS	2.	KOHNO, Masaru; TAKANO, Kazuo; YOSHIDA, Kazuki and OGATA, Tsutomu, Deterioration Forecast of Sealed Lead-Acid Batteries by Discharge of Short Time, Shingaku Sogo Taikai B-477, 1996
AS	3.	TONG, Fangwei; and YOSHIHIKO, Akaiwa, Residual Timing Error Dependence on Weighting Parameters for Autonomous Timing Synchronization Methods; Kyushu Institute of Technology, Shingaku Sogo Taikai, B-477, 1995

Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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PTO/SB/08a/b (m.c. 01/01)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

App. No.	10/678,583
Filing Date	10/2/2003
First Named Inventor	Bandini et al.
Art Unit	2134
Examiner Name	To be assigned
Attorney Docket No.	TUMB-102 CIP 2

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Examiner Initials	Cite No.	Document No.	Publication Date	Name
AS	1.	5,416,842	05-1995	Aziz, Ashar
AS	2.	5,632,011	05-1997	Landfield et al.
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Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
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Examiner Initials	Cite No.	
AS	1.	LEVIEN, Ralph, Protecting Internet E-Mail From Prying Eyes, Data Communications, May 1996, pp. 117-126.
AS	2.	SCHNEIDER, Bruce, Applied Cryptography, 2 nd Ed, October 1995, John Wiley & Sons, pp. 31-33 and 185-187.
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	4.	
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Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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SB/08a/b (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

App. No.	10/678,583
Filing Date	October 2, 2003
First Named Inventor	Jean-Christophe Bandini
Art Unit	N/A
Examiner Name	N/A
Attorney Docket No.	TUMB-102 CIP 2

U. S. Patent Documents				
Examiner Initials	Cite No.	Document No.	Publication Date	Name
	1.			
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Foreign Patent Documents					
Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
	1.				

Non-Patent		
Examiner Initials	Cite No.	
AS		H. P. BRONDMO, M. OLSON and P. BOISSONNEAULT, A Solutions Blueprint for Solving the Spam Problem by Establishing Volume Email Sender Accountability, White Paper issued by the Network Advertising Initiative Email Service Provider Coalition, September 24, 2003.
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Examiner Signature	/April Ying Shan/	Date Considered	01/11/2007
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jean-Christophe Bandini et al.
Title: METHOD AND SYSTEM FOR FILTERING COMMUNICATION
Application No.: 10/678,583 Filed: October 2, 2003
Examiner: April Ying Shan Group Art Unit: 2135
Atty. Docket No.: 127-0008-4 Confirmation No.: 2291

November 21, 2008

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
ONGOING LITIGATION

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, and further in accordance with MPEP 2001.06(c), Applicant(s) bring the existence of the following litigation to the attention of the Examiner.

TUMBLEWEED COMMUNICATIONS, CORP.

v.

SENDMAIL, INC.

CASE NO. 3:08-cv-04318-MHP

Filed September 15, 2008 and currently pending in the
Northern District of California, San Francisco Division

The litigation alleges Breach of Contract relative to Sendmail's failure to pay royalties due under a license taken on or about September 25, 2005 under certain Tumbleweed patents including U.S. Patent 6,609,196 to which the present application claims priority under 35 U.S.C. § 120. Sendmail's license has been terminated and the litigation further alleges patent infringement relative to various Sendmail products including Sendmail Sentrion appliances and Sendmail Mailstream Manager software.

In furtherance of Applicant's obligation to bring to the attention of the Examiner material information arising from the ongoing litigation, Applicants attach hereto a copy of plaintiff's FIRST AMENDED COMPLAINT and defendant's ANSWER, AFFIRMATIVE DEFENSES, AND COUNTERCLAIMS.

Nothing herein shall be construed as:

1. an admission that information submitted cited herein is prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing hereinbelow after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

FEE AUTHORIZATION

- ☐ The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of (i) a final action under § 1.113, (ii) a notice of allowance under § 1.311 or (iii) an action that otherwise closes prosecution. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(c), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

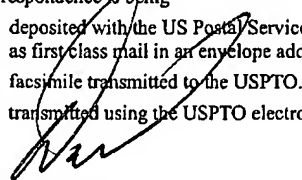
- ☒ This Information Disclosure Statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee. Accordingly, the fee set forth in § 1.17(p) is required and provided as follows:

- ☐ A check in the amount of \$180.00 is enclosed herewith.
- ☐ The fee is being paid by credit card as directed in the attached Form PTO-2038.
- ☐ The undersigned hereby authorizes the Commissioner to charge the fee to Deposit Account No. n/a.
- ☒ The fee is being paid as directed in an electronic submission of this paper.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being

- ☐ deposited with the US Postal Service with sufficient postage as first class mail in an envelope addressed as shown above.
☐ facsimile transmitted to the USPTO.
☒ transmitted using the USPTO electronic filing system.


David W. O'Brien

21-Nov-08
Date

EXPRESS MAIL LABEL: _____


Respectfully submitted,

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